

U.S. Pat. App. No. 09/652,899
Amendment Dated July 30, 2003
Response to Office Action of January 31, 2003

Docket No. 37355-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application of Bishop, et al.

Appln. No.: 09/652,899

Filed: August 31, 2000

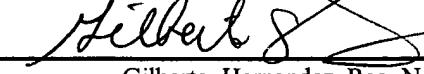
For: METHODS AND APPARATUS FOR
CONDUCTING ELECTRONIC
TRANSACTIONS

Examiner: Jalatee Worjloh

Art Unit: 3621

Certificate of Mailing

I, hereby certify that this correspondence (along with any documents referred to as attached or enclosed) is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 30, 2003.


Gilberto Hernandez, Reg. No. 46,483

Response to Office Action under 37 C.F.R. §1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

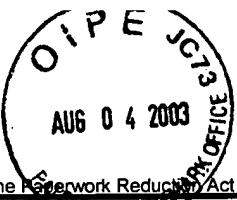
Dear Sir:

This is a response to the Office Action mailed on January 31, 2003. The original period for response was a shortened statutory period of three (3) months from the mailing date of the Office Action with a response due on or before April 30, 2003. A petition for a three (3) month extension of time under 37 C.F.R. 1.136(a) is being submitted herewith, and a response to the Office Action is now due on or before July 31, 2003. Applicants respectfully request the following amendments be entered in the above-referenced patent application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 930)

Complete if Known

Application Number	09/652,899
Filing Date	August 31, 2000
First Named Inventor	Fred Bishop
Examiner Name	Jalatee Worjoh
Art Unit	3621
Attorney Docket No.	37355-037

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METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	13-0206
Deposit Account Name	McDermott, Will & Emery

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	2001	750	375	Utility filing fee	
1002	2002	330	165	Design filing fee	
1003	2003	520	260	Plant filing fee	
1004	2004	750	375	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1) (\$ 0)					

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20**	= 0	
			- 3**	= 0	
				= 0	

Large Entity	Small Entity	Fee Description
1202	2202	9 Claims in excess of 20
1201	2201	42 Independent claims in excess of 3
1203	2203	140 Multiple dependent claim, if not paid
1204	2204	42 ** Reissue independent claims over original patent
1205	2205	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0)		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	1051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) Terminal Disclaimer					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 930)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Gilberto Hernandez <i>Gilberto</i>	Registration No. (Attorney/Agent)	46,483	Telephone (312) 372-2000
Signature	Date July 30, 2003			

WARNING: Information on this form may be made public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CERTIFICATE OF MAILING

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on July 30, 2003
Date of Mailing
Gilberto Hernandez
Applicant, Assignee or Registered Representative
Gilberto S.
Signature
July 30, 2003
Date of Signature

O P E 5073

AUG 04 2003

RESPONSE TO OFFICE ACTION TRANSMITTAL LETTER			ATTORNEY'S DOCKET NO. 37355-037
APPLYING TO TRADEMARK OFFICE 09/652,899	FILING DATE August 31, 2000	EXAMINER Jalatee Worjloh	GROUP ART UNIT 3621
INVENTOR(S) <u>Bishop, et al.</u>			
TITLE OF INVENTION <u>Methods and Apparatus for conducting Electronic Transactions</u>			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a response in the above-identified application to the Restriction Requirement of January 31, 2003

Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.

A verified statement to establish a small entry status under 37 CFR 1.9 and 1.27 is enclosed.

A request for a three-month extension of time is enclosed.

No additional claim fee is required.

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL		MINUS		
INDEP.		MINUS		
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY

RATE	ADD'L FEE
x \$9 =	\$0
x \$42 =	\$0
x \$135=	\$
TOTAL ADD'L FEE	\$

OTHER THAN A
SMALL ENTITY

RATE	ADD'L FEE
x \$18 =	\$
x \$84 =	\$
x \$270=	\$
TOTAL ADD'L FEE	\$

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Please charge Deposit Account No. 13-0206 in the amount of \$930.00 for the Response to Office Action with Petition for Three-Month Extension of Time. A duplicate copy of this sheet is enclosed.

A check in the amount of \$_____ to cover filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 and any patent application processing fees under 37 CFR 1.17 associated with this communication or credit any overpayment to Deposit Account No. 13-0206. A duplicate copy of this sheet is enclosed.

I hereby petition under 37 CFR 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 13-0206. A duplicate copy of this sheet is enclosed.

July 30, 2003
(Date)

SIGNATURE:

Gilberto S.
ATTORNEY NAME: Gilberto Hernandez
REGISTRATION NO: 46,483

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